The Lion House 1241 North Franklin Place Milwaukee, Wisconsin 53202-2901 (414) 291-9915 Fax (414) 291-9691 www.bradleyfdn.org

Cynthia K. Friauf Vice President for Finance

May 14, 2015

Ms. Julie Maier VP Finance & Investor Relations Charter Growth Fund 350 Interlocken Boulevard, Suite 390 Broomfield, CO 80021

Re:

Request for Expenditure Certification

Grant ID #5001263. To support general program activities.

Grant Amount: \$13,500,000

Expended Amount Reported: \$10,902,558

Unexpended Balance: \$2,597,442

Dear Ms. Maier:

The Lynde and Harry Bradley Foundation is a private foundation subject to Internal Revenue Code regulations. These regulations require that grant expenditure documentation be obtained from certain grantees.

Previously, the Bradley Foundation contacted you requesting expenditure information for the grant referenced above. Our records show the unexpended balance for this grant listed above. Please complete and return the enclosed Grant Expenditure Certification form for the amount of grant expended to date. In addition to the certification, send pertinent financial information detailing all expenditures made from grant funds along with information regarding progress made toward the goals of the grant.

This information is needed as soon as is practicable to complete our records for this grant.

Thank you in advance for your continued cooperation. Please feel free to contact me or Renee Krebs at 414-291-9915 if you have any questions.

Sincerely,

Cynthia K. Friauf

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CKF/rk

Grant ID #:	5001263			
Grantee:	Charter Fund, Inc.			
Grantor:	The Lynde and Harry Bradley Foundation, Inc. 1241 North Franklin Place Milwaukee, WI 53202-2901			
Grant Type: Date of grant approval: Total grant amount: Purpose of grant: Amount paid:	Exp Resp - POF November 8, 2005 \$13,500,000 To support general	program activities		
	Amount \$3,000,000 \$3,000,000 \$3,000,000 \$3,000,000 \$1,500,000	Date 12/16/2009 1/9/2009 12/13/2007 12/8/2006 12/22/2005	Check Number wire 11687 Stock 10165 STOCK	
Amount of grant spent (U.S.	\$):	Amount Rema	ining: (U.S \$)	
Please indicate reporting per	riod:			
	supplies). All amou	ints should be repor	ditures made from grant funds ted in U.S. dollars. Include any	
Progress made toward the g	oals of the grant: P	lease attach prograi	mmatic reports.	
Books and records: The Grand will make its books and rec			ords of receipts and expenditures rized agent upon request.	
ourposes as specified in section and that no portion of the goropaganda or otherwise atterelection or to carry on, directly comply with the requirements	on 170(c)(2)(B) of the grant or the income mpt to influence legistronic or indirectly, any voof section 4945(d)(3 vity for any purpose	e United States (U.S. there from was us lation; to influence the ter registration drive; or 4945(d)(4) of the	ne Grantee solely for charitable) Internal Revenue Code of 1986 ed by the Grantee to carry on ne outcome of any specific public to make any grant which did not be U.S. Internal Revenue Code of fied in section 170(c)(2)(B) of the	
Signature				
			Date	

The Lion House № 1241 North Franklin Place № Milwaukee, Wisconsin 53202-2901 (414) 291-9915 № Fax (414) 291-9691 № www.bradleyfdn.org

Cynthia K. Friauf Vice President for Finance

May 14, 2015

Ms. Julie Maier VP Finance & Investor Relations Charter Growth Fund 350 Interlocken Boulevard, Suite 390 Broomfield, CO 80021

Re: Request for Expenditure Certification

Grant ID #9001430. To support general program activities.

Grant Amount: \$3,000,000

Expended Amount Reported: \$1,769,183 Unexpended Balance: \$1,230,817

Dear Ms. Maier:

The Lynde and Harry Bradley Foundation is a private foundation subject to Internal Revenue Code regulations. These regulations require that grant expenditure documentation be obtained from certain grantees.

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Sincerely,

Cynthia K. Friauf

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CKF/rk

Grant ID #:	9001430			
Grantee:	Charter Fund, Inc.			
Grantor:	The Lynde and Harry Bradley Foundation, Inc. 1241 North Franklin Place Milwaukee, WI 53202-2901			
Grant Type: Date of grant approval: Total grant amount: Purpose of grant:	Exp Resp - POF February 23, 201 \$3,000,000 To support gener	0 al program activities		
Amount paid:	Amount \$3,000,000	Date 12/2/2010	Check Number wire	
Amount of grant spent (U.S.	\$):	Amount Rem	naining: (U.S \$)	
Please indicate reporting pe	riod:			
Use of grant: Please attacl (including salaries, travel and additional financial information	supplies). All am-	ounts should be rep	enditures made from gonted in U.S. dollars. I	ırant funds nclude any
Progress made toward the g	oals of the grant:	Please attach progr	ammatic reports.	
Books and records: The Grand will make its books and re				
Certification: The Grantee purposes as specified in section and that no portion of the gropaganda or otherwise atterelection or to carry on, directly comply with the requirements 1986, or to undertake any action. S. Internal Revenue Code or	on 170(c)(2)(B) of t grant or the income mpt to influence leg or indirectly, any of of section 4945(d) wity for any purpose	he United States (U. ne there from was gislation; to influence voter registration driv (3) or 4945(d)(4) of	S.) Internal Revenue Coused by the Grantee to the outcome of any specie; to make any grant withe U.S. Internal Reven	ode of 1986 to carry or ecific public hich did no ue Code o
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Signature			Date	
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Title				

The Lion House @ 1241 North Franklin Place @ Milwaukee, Wisconsin 53202-2901 (414) 291-9915 @ Fax (414) 291-9691 @ www.bradleyfdn.org

Cynthia K. Friauf Vice President for Finance

May 14, 2015

Ms. Julie Maier VP Finance & Investor Relations Charter Growth Fund 350 Interlocken Boulevard, Suite 390 Broomfield, CO 80021

Ret

Second Request for Expenditure Certification

Grant ID #9001430.01. To support general program activities.

Grant Amount: \$3,000,000

Expended Amount Reported: \$1,065,718 Unexpended Balance: \$1,934,282

Dear Ms. Maier:

The Lynde and Harry Bradley Foundation is a private foundation subject to Internal Revenue Code regulations. These regulations require that grant expenditure documentation be obtained from certain grantees.

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Sincerely,

Grahion K Auf Cynthia K. Friauf

CKF/rk

Grant ID #:	9001430.01	9001430.01		
Grantee:	Charter Fund, Inc	Charter Fund, Inc.		
Grantor:	1241 North Frank	The Lynde and Harry Bradley Foundation, Inc. 1241 North Franklin Place Milwaukee, WI 53202-2901		
Grant Type: Date of grant approval: Total grant amount: Purpose of grant: Amount paid:	Exp Resp - POF November 8, 201 \$3,000,000 To support gener	1 ral program activities		
	Amount \$3,000,000	Date 12/10/2011	Check Number	
Amount of grant spent (U.S	5. \$):	Amount Rem	aining: (U.S \$)	
Please indicate reporting p	eriod:			
Use of grant: Please atta (including salaries, travel ar additional financial information	nd supplies). All am	ounts should be repo	enditures made from grorted in U.S. dollars. Ir	ant funds clude any
Progress made toward the	goals of the grant:	Please attach progr	ammatic reports.	
Books and records: The cand will make its books and	Grantee certifies the records available to t	y have maintained re he Grantor or an auth	cords of receipts and ex orized agent upon reque	penditures st.
Certification: The Grante purposes as specified in sec and that no portion of the propaganda or otherwise att election or to carry on, direct comply with the requirement 1986, or to undertake any au U.S. Internal Revenue Code	etion 170(c)(2)(B) of grant or the incon tempt to influence le- titly or indirectly, any ts of section 4945(d) ctivity for any purpos	the United States (U.S ne there from was u gislation; to influence voter registration driv u(3) or 4945(d)(4) of t	S.) Internal Revenue Coused by the Grantee to the outcome of any spee; to make any grant whith U.S. Internal Revenu	de of 1986 carry on cific public ich did not ie Code of
Signature			Date	
Title		=		

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Cynthia K. Friauf Vice President for Finance

May 14, 2015

Ms. Julie Maier VP Finance & Investor Relations Charter Growth Fund 350 Interlocken Boulevard, Suite 390 Broomfield, CO 80021

Re:

Second Request for Expenditure Certification

Grant ID #9001430.02. To support general program activities.

Grant Amount: \$3,000,000

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Expended Amount Reported: \$1,094,846

Unexpended Balance: \$1,905,154

Dear Ms. Maier:

The Lynde and Harry Bradley Foundation is a private foundation subject to Internal Revenue Code regulations. These regulations require that grant expenditure documentation be obtained from certain grantees.

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Thank you in advance for your continued cooperation. Please feel free to contact me or Renee Krebs at 414-291-9915 if you have any questions.

Sincerely,

Cynthia K. Friauf

CKF/rk

Grant ID #:	9001430.02				
Grantee:	Charter Fund, Inc.				
Grantor:	1241 North Frank	The Lynde and Harry Bradley Foundation, Inc. 1241 North Franklin Place Milwaukee, WI 53202-2901			
Grant Type: Date of grant approval: Total grant amount: Purpose of grant: Amount paid:	Exp Resp - POF August 23, 2012 \$3,000,000 To support gene	ral program activitie	s		
	Amount \$3,000,000	Date 9/6/2012	Check Number Wire		
Amount of grant spent (U.S	. \$):	Amount Re	maining: (U.S \$)		
Please indicate reporting p	eriod:				
Use of grant: Please attac (including salaries, travel an additional financial informatio	d supplies). All am	ounts should be re	penditures made from eported in U.S. dollars.	grant funds Include any	
Progress made toward the	goals of the grant:	Please attach pro	grammatic reports.		
Books and records: The G and will make its books and r					
Certification: The Grantee purposes as specified in sect and that no portion of the propaganda or otherwise attelection or to carry on, direct comply with the requirement 1986, or to undertake any ac U.S. Internal Revenue Code	tion 170(c)(2)(B) of the grant or the inconempt to influence legally or indirectly, any soft section 4945(d) thirty for any purpose	the United States (Ine there from was gislation; to influence voter registration di (3) or 4945(d)(4) o	J.S.) Internal Revenue Co used by the Grantee te the outcome of any sp rive; to make any grant w f the U.S. Internal Reven	ode of 1986 to carry on ecific public hich did not lue Code of	
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Signature			Date		
Title		- -			

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Cynthia K. Friauf Vice President for Finance

May 14, 2015

Ms. Julie Maier VP Finance & Investor Relations Charter Growth Fund 350 Interlocken Boulevard, Suite 390 Broomfield, CO 80021

Re:

Second Request for Expenditure Certification

Grant ID #9001430.03. To support general program activities,

Grant Amount: \$3,000,000

Expended Amount Reported: \$2,854,904

Unexpended Balance: \$145,096

Dear Ms. Maier:

The Lynde and Harry Bradley Foundation is a private foundation subject to Internal Revenue Code regulations. These regulations require that grant expenditure documentation be obtained from certain grantees.

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Thank you in advance for your continued cooperation. Please feel free to contact me or Renee Krebs at 414-291-9915 if you have any questions.

Sincerely.

Cynthia K. Friauf

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CKF/rk

Grant ID #:	9001430.03		
Grantee:	Charter Fund, Inc.		
Grantor:	The Lynde and Harry Bradley Foundation, Inc. 1241 North Franklin Place Milwaukee, WI 53202-2901		
Grant Type: Date of grant approval: Total grant amount: Purpose of grant:	Exp Resp - POF June 27, 2013 \$3,000,000 To support general pr	rogram activities	
Amount paid:	Amount \$3,000,000	Date 9/4/2013	Check Number 14979
Amount of grant spent (U.S. S	5):	Amount Remail	ning: (U.S \$)
Please indicate reporting per	iod:		
Use of grant: Please attach (including salaries, travel and additional financial information	supplies). All amount	etailing all expend s should be reporte	litures made from grant funds ed in U.S. dollars. Include any
Progress made toward the go	oals of the grant: Plea	ase attach program	nmatic reports.
Books and records: The Grand will make its books and records			rds of receipts and expenditures zed agent upon request.
purposes as specified in section and that no portion of the gropaganda or otherwise attention election or to carry on, directly comply with the requirements	on 170(c)(2)(B) of the Urant or the income the opt to influence legislate or indirectly, any voter of section 4945(d)(3) outly for any purpose other.	Jnited States (U.S.) nere from was use tion; to influence the registration drive; or 4945(d)(4) of the	e Grantee solely for charitable Internal Revenue Code of 1986 ed by the Grantee to carry on a outcome of any specific public to make any grant which did not U.S. Internal Revenue Code of the in section 170(c)(2)(B) of the
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Signature			Date
Title			