



The Lynde and Harry  
BRADLEY FOUNDATION

The Lion House ☉ 1241 North Franklin Place ☉ Milwaukee, Wisconsin 53202-2901  
(414) 291-9915 ☉ Fax (414) 291-9691 ☉ [www.bradleyfdn.org](http://www.bradleyfdn.org)

Cynthia K. Friauf  
Vice President for Finance

May 14, 2015

Ms. Julie Maier  
VP Finance & Investor Relations  
Charter Growth Fund  
350 Interlocken Boulevard, Suite 390  
Broomfield, CO 80021

Re: Request for Expenditure Certification  
Grant ID #5001263. To support general program activities.  
Grant Amount: \$13,500,000  
Expended Amount Reported: \$10,902,558  
Unexpended Balance: \$2,597,442

Dear Ms. Maier:

The Lynde and Harry Bradley Foundation is a private foundation subject to Internal Revenue Code regulations. These regulations require that grant expenditure documentation be obtained from certain grantees.

Previously, the Bradley Foundation contacted you requesting expenditure information for the grant referenced above. Our records show the unexpended balance for this grant listed above. Please complete and return the enclosed Grant Expenditure Certification form for the amount of grant expended to date. In addition to the certification, send pertinent financial information detailing all expenditures made from grant funds along with information regarding progress made toward the goals of the grant.

This information is needed as soon as is practicable to complete our records for this grant.

Thank you in advance for your continued cooperation. Please feel free to contact me or Renee Krebs at 414-291-9915 if you have any questions.

Sincerely,

Cynthia K. Friauf

CKF/rk

Enclosure

## GRANT EXPENDITURE CERTIFICATION

**Grant ID #:** 5001263

**Grantee:** Charter Fund, Inc.

**Grantor:** The Lynde and Harry Bradley Foundation, Inc.  
1241 North Franklin Place  
Milwaukee, WI 53202-2901

**Grant Type:** Exp Resp - POF

**Date of grant approval:** November 8, 2005

**Total grant amount:** \$13,500,000

**Purpose of grant:** To support general program activities

Amount paid:	Amount	Date	Check Number
	\$3,000,000	12/16/2009	wire
	\$3,000,000	1/9/2009	11687
	\$3,000,000	12/13/2007	Stock
	\$3,000,000	12/8/2006	10165
	\$1,500,000	12/22/2005	STOCK

**Amount of grant spent (U.S. \$):** \_\_\_\_\_ **Amount Remaining: (U.S. \$)** \_\_\_\_\_

**Please indicate reporting period:** \_\_\_\_\_

**Use of grant:** Please **attach financial reports** detailing all expenditures made from grant funds (including salaries, travel and supplies). All amounts should be reported in U.S. dollars. Include any additional financial information relevant to the grant.

**Progress made toward the goals of the grant:** Please **attach programmatic reports**.

**Books and records:** The Grantee certifies they have maintained records of receipts and expenditures and will make its books and records available to the Grantor or an authorized agent upon request.

**Certification:** The Grantee certifies that the grant was used by the Grantee solely for charitable purposes as specified in section 170(c)(2)(B) of the United States (U.S.) Internal Revenue Code of 1986 and that no portion of the grant or the income there from was used by the Grantee to carry on propaganda or otherwise attempt to influence legislation; to influence the outcome of any specific public election or to carry on, directly or indirectly, any voter registration drive; to make any grant which did not comply with the requirements of section 4945(d)(3) or 4945(d)(4) of the U.S. Internal Revenue Code of 1986, or to undertake any activity for any purpose other than one specified in section 170(c)(2)(B) of the U.S. Internal Revenue Code of 1986.

\_\_\_\_\_  
*Signature*

\_\_\_\_\_  
*Date*

\_\_\_\_\_  
*Title*



The Lynde and Harry  
BRADLEY FOUNDATION

The Lion House ☎ 1241 North Franklin Place ☎ Milwaukee, Wisconsin 53202-2901  
(414) 291-9915 ☎ Fax (414) 291-9691 ☎ [www.bradleyfdn.org](http://www.bradleyfdn.org)

Cynthia K. Friauf  
Vice President for Finance

May 14, 2015

Ms. Julie Maier  
VP Finance & Investor Relations  
Charter Growth Fund  
350 Interlocken Boulevard, Suite 390  
Broomfield, CO 80021

Re: Request for Expenditure Certification  
Grant ID #9001430. To support general program activities.  
Grant Amount: \$3,000,000  
Expended Amount Reported: \$1,769,183  
Unexpended Balance: \$1,230,817

Dear Ms. Maier:

The Lynde and Harry Bradley Foundation is a private foundation subject to Internal Revenue Code regulations. These regulations require that grant expenditure documentation be obtained from certain grantees.

Previously, the Bradley Foundation contacted you requesting expenditure information for the grant referenced above. Our records show the unexpended balance for this grant listed above. Please complete and return the enclosed Grant Expenditure Certification form for the amount of grant expended to date. In addition to the certification, send pertinent financial information detailing all expenditures made from grant funds along with information regarding progress made toward the goals of the grant.

This information is needed as soon as is practicable to complete our records for this grant.

Thank you in advance for your continued cooperation. Please feel free to contact me or Renee Krebs at 414-291-9915 if you have any questions.

Sincerely,

Cynthia K. Friauf

CKF/rk

Enclosure

## **GRANT EXPENDITURE CERTIFICATION**

**Grant ID #:** 9001430

**Grantee:** Charter Fund, Inc.

**Grantor:** The Lynde and Harry Bradley Foundation, Inc.  
1241 North Franklin Place  
Milwaukee, WI 53202-2901

**Grant Type:** Exp Resp - POF

**Date of grant approval:** February 23, 2010

**Total grant amount:** \$3,000,000

**Purpose of grant:** To support general program activities

**Amount paid:**

Amount	Date	Check Number
\$3,000,000	12/2/2010	wire

**Amount of grant spent (U.S. \$):** \_\_\_\_\_ **Amount Remaining: (U.S. \$)** \_\_\_\_\_

**Please indicate reporting period:** \_\_\_\_\_

**Use of grant:** Please **attach financial reports** detailing all expenditures made from grant funds (including salaries, travel and supplies). All amounts should be reported in U.S. dollars. Include any additional financial information relevant to the grant.

**Progress made toward the goals of the grant:** Please **attach programmatic reports**.

**Books and records:** The Grantee certifies they have maintained records of receipts and expenditures and will make its books and records available to the Grantor or an authorized agent upon request.

**Certification:** The Grantee certifies that the grant was used by the Grantee solely for charitable purposes as specified in section 170(c)(2)(B) of the United States (U.S.) Internal Revenue Code of 1986 and that no portion of the grant or the income there from was used by the Grantee to carry on propaganda or otherwise attempt to influence legislation; to influence the outcome of any specific public election or to carry on, directly or indirectly, any voter registration drive; to make any grant which did not comply with the requirements of section 4945(d)(3) or 4945(d)(4) of the U.S. Internal Revenue Code of 1986, or to undertake any activity for any purpose other than one specified in section 170(c)(2)(B) of the U.S. Internal Revenue Code of 1986.

\_\_\_\_\_  
*Signature*

\_\_\_\_\_  
*Date*

\_\_\_\_\_  
*Title*



The Lynde and Harry  
**BRADLEY FOUNDATION**

The Lion House ☎ 1241 North Franklin Place ☎ Milwaukee, Wisconsin 53202-2901  
(414) 291-9915 ☎ Fax (414) 291-9691 ☎ [www.bradleyfdn.org](http://www.bradleyfdn.org)

Cynthia K. Friauf  
Vice President for Finance

May 14, 2015

Ms. Julie Maier  
VP Finance & Investor Relations  
Charter Growth Fund  
350 Interlocken Boulevard, Suite 390  
Broomfield, CO 80021

Re: Second Request for Expenditure Certification  
Grant ID #9001430.01. To support general program activities.  
Grant Amount: \$3,000,000  
Expended Amount Reported: \$1,065,718  
Unexpended Balance: \$1,934,282

Dear Ms. Maier:

The Lynde and Harry Bradley Foundation is a private foundation subject to Internal Revenue Code regulations. These regulations require that grant expenditure documentation be obtained from certain grantees.

Previously, the Bradley Foundation contacted you requesting expenditure information for the grant referenced above. Our records show the unexpended balance for this grant listed above. Please complete and return the enclosed Grant Expenditure Certification form for the amount of grant expended to date. In addition to the certification, send pertinent financial information detailing all expenditures made from grant funds along with information regarding progress made toward the goals of the grant.

This information is needed as soon as is practicable to complete our records for this grant.

Thank you in advance for your continued cooperation. Please feel free to contact me or Renee Krebs at 414-291-9915 if you have any questions.

Sincerely,

Cynthia K. Friauf

CKF/rk

Enclosure

## **GRANT EXPENDITURE CERTIFICATION**

**Grant ID #:** 9001430.01

**Grantee:** Charter Fund, Inc.

**Grantor:** The Lynde and Harry Bradley Foundation, Inc.  
1241 North Franklin Place  
Milwaukee, WI 53202-2901

**Grant Type:** Exp Resp - POF

**Date of grant approval:** November 8, 2011

**Total grant amount:** \$3,000,000

**Purpose of grant:** To support general program activities

**Amount paid:**

Amount	Date	Check Number
\$3,000,000	12/10/2011	

**Amount of grant spent (U.S. \$):** \_\_\_\_\_ **Amount Remaining: (U.S. \$)** \_\_\_\_\_

**Please indicate reporting period:** \_\_\_\_\_

**Use of grant:** Please **attach financial reports** detailing all expenditures made from grant funds (including salaries, travel and supplies). All amounts should be reported in U.S. dollars. Include any additional financial information relevant to the grant.

**Progress made toward the goals of the grant:** Please **attach programmatic reports**.

**Books and records:** The Grantee certifies they have maintained records of receipts and expenditures and will make its books and records available to the Grantor or an authorized agent upon request.

**Certification:** The Grantee certifies that the grant was used by the Grantee solely for charitable purposes as specified in section 170(c)(2)(B) of the United States (U.S.) Internal Revenue Code of 1986 and that no portion of the grant or the income there from was used by the Grantee to carry on propaganda or otherwise attempt to influence legislation; to influence the outcome of any specific public election or to carry on, directly or indirectly, any voter registration drive; to make any grant which did not comply with the requirements of section 4945(d)(3) or 4945(d)(4) of the U.S. Internal Revenue Code of 1986, or to undertake any activity for any purpose other than one specified in section 170(c)(2)(B) of the U.S. Internal Revenue Code of 1986.

\_\_\_\_\_  
*Signature*

\_\_\_\_\_  
*Date*

\_\_\_\_\_  
*Title*



The Lynde and Harry  
BRADLEY FOUNDATION

The Lion House ☎ 1241 North Franklin Place ☎ Milwaukee, Wisconsin 53202-2901  
(414) 291-9915 ☎ Fax (414) 291-9691 ☎ [www.bradleyfdn.org](http://www.bradleyfdn.org)

Cynthia K. Friauf  
Vice President for Finance

May 14, 2015

Ms. Julie Maier  
VP Finance & Investor Relations  
Charter Growth Fund  
350 Interlocken Boulevard, Suite 390  
Broomfield, CO 80021

Re: Second Request for Expenditure Certification  
Grant ID #9001430.02. To support general program activities.  
Grant Amount: \$3,000,000  
Expended Amount Reported: \$1,094,846  
Unexpended Balance: \$1,905,154

Dear Ms. Maier:

The Lynde and Harry Bradley Foundation is a private foundation subject to Internal Revenue Code regulations. These regulations require that grant expenditure documentation be obtained from certain grantees.

Previously, the Bradley Foundation contacted you requesting expenditure information for the grant referenced above. Our records show the unexpended balance for this grant listed above. Please complete and return the enclosed Grant Expenditure Certification form for the amount of grant expended to date. In addition to the certification, send pertinent financial information detailing all expenditures made from grant funds along with information regarding progress made toward the goals of the grant.

This information is needed as soon as is practicable to complete our records for this grant.

Thank you in advance for your continued cooperation. Please feel free to contact me or Renee Krebs at 414-291-9915 if you have any questions.

Sincerely,

Cynthia K. Friauf

CKF/rk

Enclosure

## **GRANT EXPENDITURE CERTIFICATION**

**Grant ID #:** 9001430.02

**Grantee:** Charter Fund, Inc.

**Grantor:** The Lynde and Harry Bradley Foundation, Inc.  
1241 North Franklin Place  
Milwaukee, WI 53202-2901

**Grant Type:** Exp Resp - POF

**Date of grant approval:** August 23, 2012

**Total grant amount:** \$3,000,000

**Purpose of grant:** To support general program activities

**Amount paid:**

Amount	Date	Check Number
\$3,000,000	9/6/2012	Wire

**Amount of grant spent (U.S. \$):** \_\_\_\_\_ **Amount Remaining: (U.S. \$)** \_\_\_\_\_

**Please indicate reporting period:** \_\_\_\_\_

**Use of grant:** Please **attach financial reports** detailing all expenditures made from grant funds (including salaries, travel and supplies). All amounts should be reported in U.S. dollars. Include any additional financial information relevant to the grant.

**Progress made toward the goals of the grant:** Please **attach programmatic reports**.

**Books and records:** The Grantee certifies they have maintained records of receipts and expenditures and will make its books and records available to the Grantor or an authorized agent upon request.

**Certification:** The Grantee certifies that the grant was used by the Grantee solely for charitable purposes as specified in section 170(c)(2)(B) of the United States (U.S.) Internal Revenue Code of 1986 and that no portion of the grant or the income there from was used by the Grantee to carry on propaganda or otherwise attempt to influence legislation; to influence the outcome of any specific public election or to carry on, directly or indirectly, any voter registration drive; to make any grant which did not comply with the requirements of section 4945(d)(3) or 4945(d)(4) of the U.S. Internal Revenue Code of 1986, or to undertake any activity for any purpose other than one specified in section 170(c)(2)(B) of the U.S. Internal Revenue Code of 1986.

\_\_\_\_\_  
*Signature*

\_\_\_\_\_  
*Date*

\_\_\_\_\_  
*Title*





The Lynde and Harry  
BRADLEY FOUNDATION

The Lion House ☉ 1241 North Franklin Place ☉ Milwaukee, Wisconsin 53202-2901  
(414) 291-9915 ☉ Fax (414) 291-9691 ☉ [www.bradleyfdn.org](http://www.bradleyfdn.org)

Cynthia K. Friauf  
Vice President for Finance

May 14, 2015

Ms. Julie Maier  
VP Finance & Investor Relations  
Charter Growth Fund  
350 Interlocken Boulevard, Suite 390  
Broomfield, CO 80021

Re: Second Request for Expenditure Certification  
Grant ID #9001430.03. To support general program activities.  
Grant Amount: \$3,000,000  
Expended Amount Reported: \$2,854,904  
Unexpended Balance: \$145,096

Dear Ms. Maier:

The Lynde and Harry Bradley Foundation is a private foundation subject to Internal Revenue Code regulations. These regulations require that grant expenditure documentation be obtained from certain grantees.

Previously, the Bradley Foundation contacted you requesting expenditure information for the grant referenced above. Our records show the unexpended balance for this grant listed above. Please complete and return the enclosed Grant Expenditure Certification form for the amount of grant expended to date. In addition to the certification, send pertinent financial information detailing all expenditures made from grant funds along with information regarding progress made toward the goals of the grant.

This information is needed as soon as is practicable to complete our records for this grant.

Thank you in advance for your continued cooperation. Please feel free to contact me or Renee Krebs at 414-291-9915 if you have any questions.

Sincerely,

Cynthia K. Friauf

CKF/rk

Enclosure

## **GRANT EXPENDITURE CERTIFICATION**

**Grant ID #:** 9001430.03

**Grantee:** Charter Fund, Inc.

**Grantor:** The Lynde and Harry Bradley Foundation, Inc.  
1241 North Franklin Place  
Milwaukee, WI 53202-2901

**Grant Type:** Exp Resp - POF

**Date of grant approval:** June 27, 2013

**Total grant amount:** \$3,000,000

**Purpose of grant:** To support general program activities

**Amount paid:**

Amount	Date	Check Number
\$3,000,000	9/4/2013	14979

**Amount of grant spent (U.S. \$):** \_\_\_\_\_ **Amount Remaining: (U.S. \$)** \_\_\_\_\_

**Please indicate reporting period:** \_\_\_\_\_

**Use of grant:** Please **attach financial reports** detailing all expenditures made from grant funds (including salaries, travel and supplies). All amounts should be reported in U.S. dollars. Include any additional financial information relevant to the grant.

**Progress made toward the goals of the grant:** Please **attach programmatic reports**.

**Books and records:** The Grantee certifies they have maintained records of receipts and expenditures and will make its books and records available to the Grantor or an authorized agent upon request.

**Certification:** The Grantee certifies that the grant was used by the Grantee solely for charitable purposes as specified in section 170(c)(2)(B) of the United States (U.S.) Internal Revenue Code of 1986 and that no portion of the grant or the income there from was used by the Grantee to carry on propaganda or otherwise attempt to influence legislation; to influence the outcome of any specific public election or to carry on, directly or indirectly, any voter registration drive; to make any grant which did not comply with the requirements of section 4945(d)(3) or 4945(d)(4) of the U.S. Internal Revenue Code of 1986, or to undertake any activity for any purpose other than one specified in section 170(c)(2)(B) of the U.S. Internal Revenue Code of 1986.

\_\_\_\_\_  
*Signature*

\_\_\_\_\_  
*Date*

\_\_\_\_\_  
*Title*