

Princeton University

The Board of Trustees  
Princeton, New Jersey 08544-5264


Forward in PCG

**CERTIFICATE REGARDING TAX STATUS OF  
THE TRUSTEES OF PRINCETON UNIVERSITY**

The undersigned, Patricia A. Halliday, Associate Secretary of The Trustees of Princeton University and as such authorized to execute this certificate in the name of The Trustees of Princeton University, hereby certifies that to the best of her knowledge, information and belief, the following facts are true, correct and complete:

The Trustees of Princeton University has received the attached determination letters from the Internal Revenue Service stating that The Trustees of Princeton University qualifies as an organization to be tax-exempt as described in Section 501(c)(3) of the Internal Revenue Service Code and in Section 170(b)(1)(a)(ii). Also, The Trustees of Princeton University is not a private foundation as defined in Section 509(a) of the Internal Revenue Code.

No notice of a change in the status of The Trustees of Princeton University under the Sections of the Internal Revenue Code identified above has been made to the public (such as by publication of the Internal Revenue Bulletin), nor has the Internal Revenue Service given notice to The Trustees of Princeton University that it will be deleted from such status.

  
Patricia A. Halliday  
Associate Secretary

Dated: August 4, 2003

Princeton University

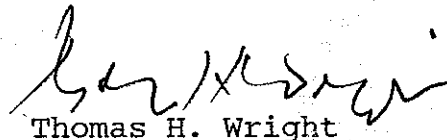
Office of the Vice President and Secretary  
One Nassau Hall  
Princeton, New Jersey 08544-5264

Entered in PCG

August 21, 1995

TO WHOM IT MAY CONCERN:

This is to certify that there has been no change in the purpose, character, or method of operation of The Trustees of Princeton University subsequent to the issuance of a Ruling by the Internal Revenue Service that the The Trustees of Princeton University is an organization described in Section 501(c)(3) of the Internal Revenue Code, and that it is not a private foundation as defined in Section 509(a) of the Internal Revenue Code.



Thomas H. Wright

Secretary

THW:cl

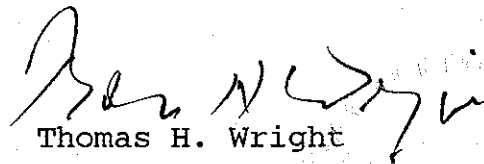
Princeton University

Office of the Vice President and Secretary  
One Nassau Hall  
Princeton, New Jersey 08544-5264

AUG 16 1994

TO WHOM IT MAY CONCERN:

This is to certify that there has been no change in the purpose, character, or method of operation of The Trustees of Princeton University subsequent to the issuance of a Ruling by the Internal Revenue Service that the The Trustees of Princeton University is an organization described in Section 501(c)(3) of the Internal Revenue Code, and that it is not a private foundation as defined in Section 509(a) of the Internal Revenue Code.

  
Thomas H. Wright  
Secretary

THW:cl

Internal Revenue Service

District  
Director

▷ Trustees of Princeton University  
Office of the Controller  
P.O. Box 35  
Princeton, N.J. 08544

Department of the Treasury  
P.O. Box 260, Newark, N.J. 07101

Person to Contact: R. Davis

Telephone Number: (201)645-3157

Refer Reply to: EPED:244

Date:

11 SEP 1983

• Gentlemen:

We wish to inform you that as a result of our examination of your Form 990 and 990-T for the period ending June 30, 1980 we will continue to recognize your organization as tax-exempt.

There is no change in your liability for the unrelated business income tax as specified in sections 511 through 515 of the Internal Revenue Code.

However, the examination disclosed that property included on Form 3468, Computation of Investment Credit, attached to Form 990-T, was not used predominantly in an unrelated trade or business as required by section 48(a)(4) of the Code. Therefore, no investment credit is allowable on this property.

Since your organization had no liability for the unrelated business income tax, the investment credit was never used. However, you have agreed in a letter dated July 21, 1983 to the disallowance of the total investment credit for potential future use. The attached report shows this adjustment.

Thank you for your cooperation.

Sincerely yours,

*Cornelius J. Coleman*

Cornelius J. Coleman  
District Director

DEPARTMENT OF THE TREASURY

District Director

Internal Revenue Service

Date:

29 APR 1976

In reply refer to:

EPEO:E.Y.B. Kyles

(201) 645-2439



Trustees of Princeton University  
P. O. Box 35  
Princeton, N.J. 08540

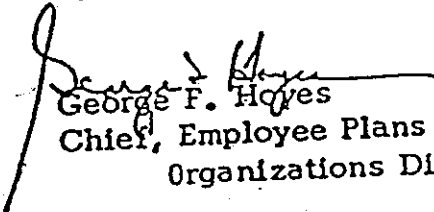
Gentlemen:

We have recently received your inquiry concerning the inclusion of a deductibility code after your organization name as it appears in the Cumulative List of Organizations (Publication 78), Revised to October 31, 1975.

Our records indicate that this code is in error, and procedures are being followed which will result in the removal of this code. This should be reflected in the next annual reprint of Publication 78 scheduled for January 1977.

Until such time that your correct status is published by the Service, you may continue to use the letter issued to you on October 20, 1970, which states that your organization is not a private foundation as defined in section 509(a) of the Internal Revenue Code. A copy of that letter is enclosed for your convenience.

Sincerely yours,

  
George F. Hoyer

Chief, Employee Plans and Exempt  
Organizations Division

Enclosure

Entered in PCG



**Internal Revenue Service**

**Washington, DC 20224**

Date:

**JUN 20 1975**

In reply refer to:

**E:EO:O:R**

The Trustees of Princeton University  
c/o Howard S. Ende  
Assistant University Council  
2 New South Building  
Princeton University  
Princeton, New Jersey 08540

Dear Mr. Ende:

This is in response to your telephone conversation with Mr. Charles McLaughlin of my staff. As he explained to you, your organization was issued two different employer identification numbers (EIN). Since your Form 4653, Notification of Foundation Status, was processed to the newer number and it has subsequently been consolidated, we do not have your latest ruling on file.

We have taken the necessary action to change your listing on our file. You will appear in our annual publication 78 correctly. We have ruled your organization to be exempt under section 501(c)(3) of the Internal Revenue Code, and further determine you to be a Public Charity under section 170(b)(1)(a)(ii) and contributions to your organization are deductible for income tax purposes. Until you appear correctly in Publication 78, you can send a copy of this letter to organizations requesting your status.

If you have any further questions, please contact Mr. McLaughlin at 202-964-6197.

Sincerely yours,

J. Burdette, Chief  
Exempt Organizations  
Operations Branch

Department of the Treasury

Internal Revenue Service  
Washington, DC 20224

Date:

In reply refer to:

10-20-70

PRINCETON UNIVERSITY  
c/o HORACE W. EGGY  
PYRE ADMINISTRATION BLDG  
PRINCETON, N J

0554

Gentlemen:

Based on the information you recently submitted, we have classified you as an organization that is not a private foundation as defined in section 509(b) of the Internal Revenue Code.

Your classification is based on the assumption that your operations will be as stated in your notification. Any changes in your purposes, character, or method of operation must be reported to your District Director so he may consider the effect on your status.

Sincerely yours,

*J. A. Telesco*

Chief, Rulings Section  
Exempt Organizations Branch

FORM 12-6714 (8-76) (CONTINUOUS)

## Officers of the University

Harold T. Shapiro GS'64, *president*

### Academic Officers

Stephen M. Goldfeld, *provost*

Robert C. Gunning GS'55, *dean of the faculty*

David N. Redman, *acting dean of the Graduate School*

Nancy Weiss Malkiel, *dean of the college*

Janina Montero, *dean of student life*

Sam B. Treiman, *chair of the University Research Board*

James Wei, *dean, School of Engineering and Applied Science*

Henry S. Bienen, *dean, Woodrow Wilson School of Public and International Affairs*

Ralph Lerner, *dean, School of Architecture*

Joseph C. Williamson, *dean of religious life, dean of the chapel*

Donald W. Koepp, *librarian*

C. Anthony Broth, *registrar*

Fred A. Hargadon, *dean of admission*

Ruth J. Simmons, *vice-provost*

### Officers of the Corporation

Thomas H. Wright, Jr. '62, *vice-president and secretary*

Richard R. Spies GS'72, *vice-president for finance and administration*

Van Zandt Williams, Jr. '65, *vice-president for development*

Robert K. Durkee '69, *vice-president for public affairs*

Eugene J. McPartland HC'54, *vice-president for facilities*

Ira H. Fuchs, *vice-president for computing and information technology*

Audrey S. Smith, *vice-president for human resources*

Raymond J. Clark, *treasurer*

Randall A. Hack '69, *president, Princeton University Investment Company*

Howard S. Ende, *general counsel*

## Trustees of the University

### Ex Officio

President Harold T. Shapiro GS'64; Princeton, New Jersey

Governor James J. Florio; Trenton, New Jersey

### Trustees

(Dates in parentheses refer to the end of the term as trustee.)

Hewes D. Agnew '58 (1997); Billings, Montana; cardiovascular surgeon, Billings Clinic

Norman R. Augustine '57, GS'59 (1995); Potomac, Maryland; chair and CEO, Martin Marietta Corporation

Jon E. Barfield '74 (2001); Ann Arbor, Michigan; president, Bartech Inc.; president, Staffing Trends, Inc.

John C. Beck '53 (1998); Mount Kisco, New York; senior partner, Beck, Mack & Oliver

John E. Bjorkholm '61 (1995); Holmdel, New Jersey; applied physicist and Distinguished Member of the Technical Staff, AT&T Bell Laboratories

Philip C. Bobbitt '71 (1994); Austin, Texas; attorney; professor of law, University of Texas Law School; fellow, Kings College, London

W. Hodding Carter III '57 (1998); Alexandria, Virginia; journalist; president, Main Street Productions

Sung Hoon Cho '91 (1995); New York, New York

Janet M. Clarke '75 (1997); Essex, Connecticut; senior vice-president, R. R. Donnelley & Sons

W. Robert Connor GS'61 (1997); Chapel Hill, North Carolina; president and director, National Humanities Center

Lloyd E. Coitsen '50 (1999); Los Angeles, California; chair and CEO, Neutrogena Corporation

Donald G. Fisher (1997); San Francisco, California; chair, The Gap

Richard B. Fisher '57 (1994); Brooklyn, New York; chair, Morgan Stanley Group, Inc.

Malcolm S. Forbes, Jr. '70 (2002); Bedminster, New Jersey; president and CEO, Forbes Inc.

William H. Frist '74 (2001); Nashville, Tennessee; surgeon; director, Vanderbilt Transplant Center

Gerald Greenwald '57 (1996); New York, New York; chair, Tatra

Robert P. Hauptfuhrer '53 (1997); Wayne, Pennsylvania; chair and CEO, Oryx Energy Company

Patricia L. Irvin '76 (1994); Washington, D.C.; deputy assistant

secretary for defense and humanitarian and refugee affairs,

Department of Defense

Juanita T. James '74 (1998); Stamford, Connecticut; senior vice-president and director, Book-of-the-Month Club and Quality Paperback Books

Richard W. Kazmaier, Jr. '52 (1995); Key Largo, Florida; president, Kazmaier Associates, Inc.

Thomas W. Langfitt '48 (1995); Wynnewood, Pennsylvania; president and CEO, Glenmede Trust Company; president, Pew Charitable Trusts

Doris S. Lee '93 (1997); Staten Island, New York; consultant, Strategic Consulting Group, Price Waterhouse

Marsha H. Levy-Warren '73 (2001); New York, New York; psychoanalyst

Edward E. Matthews '53 (2001); Princeton, New Jersey; vice-chair—finance, American International Group, Inc.

Nancy J. Newman '78 (1996); Atlanta, Georgia; director, Neuro-Ophthalmology Unit; assistant professor, Emory University School of Medicine

Elizabeth Plater-Zyberk '72 (2003); Coral Gables, Florida; principal, Duany & Plater-Zyberk, Architects; professor, University of Miami

Robert H. Rawson, Jr. '66 (1999); Shaker Heights, Ohio; attorney; coordinator, Government Regulation Group, Jones, Day, Reavis & Pogue

W. Taylor Reveley III '65 (2001); Richmond, Virginia; attorney and partner, Hunton & Williams

John W. Rogers, Jr. '80 (1994); Chicago, Illinois; president, Ariel Capital Management, Inc.

Donald H. Rumsfeld '54 (1996); Chicago, Illinois; chair, CEO, and president, General Instrument Corporation

Susan Craig Scott '70 (1995); New York, New York; plastic and reconstructive hand surgeon; assistant professor, Mt. Sinai Medical Center

John H. Scully '66 (2000); Ross, California; managing director, SPO Partners and Company

John J. F. Sherrerd '52 (2000); Bryn Mawr, Pennsylvania; partner, Miller, Anderson & Sherrerd

Chang-Lin Tien GS'59 (1995); Berkeley, California; chancellor; professor of mechanical engineering, University of California at Berkeley

Daniel R. Toll '49 (1994); Kenilworth, Illinois; chair, Corona Corporation

John L. Weinberg '47 (1995); Greenwich, Connecticut; senior chair, Goldman, Sachs & Company

Adrienne K. Wheatley '92 (1996); Piedmont, California; graduate student, Harvard University

Michel N. ZhuParris '90 (1994); Central Islip, New York; analyst, Emerging Markets Group, Morgan Stanley Asset Management, Inc.