

# COLUMBIA UNIVERSITY

IN THE CITY OF NEW YORK

OFFICE OF PROJECTS AND GRANTS

## **CERTIFICATE REGARDING TAX STATUS OF THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK**

The undersigned, *Alison Dewhurst*, the *Assistant Director, Office of Projects and Grants* of *The Trustees of Columbia University* in the City of New York and as such authorized to execute this certificate in the name of *The Trustees of Columbia University in the City of New York*, hereby certifies that to the best of her knowledge, information and belief, the following facts are true, correct and complete:

(1) *The Trustees of Columbia University in the City of New York* has received a ruling or determination letter(s) from the Internal Revenue Service stating that *The Trustees of Columbia University in the City of New York* qualifies as an organization described in Section 501 (c)(3) of the Internal Revenue Service Code identified below (please check the appropriate box and attach a photocopy of the IRS determination letter):

- ☐ Sections 509 (a)(1) and 170 (b)(1)(a)(vi)
- ☐ Section 509 (a)(2)
- ☐ Section 509 (a)(3)
- ☒ Section 509 (a)(1) and 170 (b)(1)(a)(i), (ii), (iii), (iv), or (v)

(2) Notice of a change in the status of *The Trustees of Columbia University in the City of New York* under the section or sections of the Internal revenue Service Code identified above has not been made to the public (such as by publication of the Internal Revenue Service Bulletin), and the Internal Revenue Service has not given notice to *The Trustees of Columbia University in the City of New York* that it will be deleted from such status.

The Trustees of Columbia University  
in the City of New York  
(name of organization)

Dated 5/7/98

*Alison Dewhurst*  
(name of responsible officer)

Assistant Director  
(title of office held)

Internal Revenue Service  
General Council  
Columbia University

District  
Director

APR 15 1997

Department of the Treasury

10 MetroTech Center  
625 Fulton St., Brooklyn, NY 11201

Date:

APR 09 1997

RECEIVED

Trustees of Columbia University  
in the City of New York  
c/o Susan Sgarlat,  
Office General Council  
110 Low Memorial Library  
New York, NY 10027

Person to Contact:  
Patricia Holub  
Contact Telephone Number:  
(718) 488-2333  
EIN: 13-5598093

Dear Sir or Madam:

Reference is made to your request for verification of the tax exempt status of Trustees of Columbia University in the City of New York.

A determination or ruling letter issued to an organization granting exemption under the Internal Revenue Code remains in effect until the tax exempt status has been terminated, revoked or modified.

Our records indicate that exemption was granted as shown below.

Sincerely yours,

*(Patricia Holub)*  
Patricia Holub  
Manager, Customer  
Service Unit

Name of Organization: Trustees of Columbia University  
in the City of New York

Date of Exemption Letter: January 1974

Exemption granted pursuant to section 501(c)(3) of the Internal Revenue Code.

Foundation Classification (if applicable): Not a private foundation as you are an organization described in sections 509(a)(1) and 170(b)(1)(A)(ii) of the Internal Revenue Code.

This organization is tax exempt under the group ruling of the Trustees of Columbia University. Group Exemption Number 8057.

Columbia University in the City of New York | New York, N.Y. 10027

OFFICE OF THE VICE PRESIDENT  
for UNIVERSITY DEVELOPMENT AND ALUMNI RELATIONS

Entered in PCG

Mailing Address:  
Box 400, Central Mail Room  
Telephone: (212) 870-2570

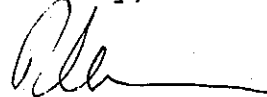
April 27, 1994

To Whom It May Concern:

I, the undersigned, do hereby certify that I am the Vice President for University Development and Alumni Relations for Columbia University in the City of New York, a New York Corporation, and that the attached is a true, correct and complete copy of a letter on file at the University, dated October 2, 1985, from the Internal Revenue Service certifying that the Trustees of Columbia University in the City of New York is exempt under Section 501 (c) (3) of the Internal Revenue Code of 1954, and that it has been classified as a public foundation under Sections 170 (b) (1) (A) (ii) and 509 (a) (i) of the Internal Revenue Code.

I furthermore attest that there has been no change in the purpose, character, or method of operation of Columbia University in the City of New York since the issuance of the letter of the Internal Revenue Service on October 2, 1985.

Sincerely,



Richard K. Naum  
Vice President

Enclosure  
/sm

Entered in PCG

Columbia University in the City of New York | New York, N.Y. 10027

OFFICE OF THE SECRETARY

April 4, 1986

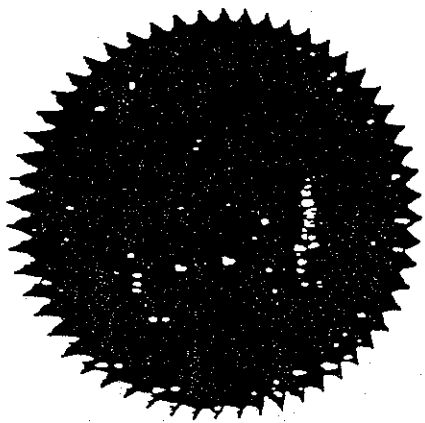
To whom it may concern:

I, the undersigned, do hereby certify that I am the duly appointed and acting Secretary of The Trustees of Columbia University in the City of New York, a New York corporation, and that attached herewith is a true, correct and complete copy of a letter on file at the University, dated October 2, 1985, from the Internal Revenue Service certifying that The Trustees of Columbia University in the City of New York is exempt under Section 501 (c) (3) of the Internal Revenue Code of 1954, and that it has been classified as a public foundation under Sections 170 (b) (1) (A) (ii) and 509 (a) (i) of the Internal Revenue Code.

Very truly yours,

*Marion E. Jemmott*

Marion E. Jemmott  
Secretary of the University  
The Trustees of Columbia University  
in the City of New York



Internal Revenue Service

Entered in PCG

Department of the Treasury

District  
Director

P. O. BOX 1000, NEW YORK, N.Y. 10027

~~P. O. BOX 1000, NEW YORK, N.Y. 10027~~

Date: OCT 7 1985

Office of the  
General Counsel  
Columbia Univ.

OCT 7 - 1985

RECEIVED

Ms. Susan L. Sgarlat  
Associate General Counsel  
Columbia University in  
the City of New York Office  
of the General Counsel  
110 Low Memorial Library  
New York, NY 10027

Person to Contact:  
Mr. Leonard Gass  
Contact Telephone Number:  
(718) 780-6535  
Refer Reply to:  
Disclosure Office

Ref: 11015307

Dear Ms. Sgarlat:

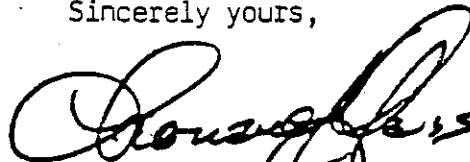
Pursuant to your letter dated May 31, 1983, this is to inform you that we do not have the original exemption letter or any papers or application pertaining to said letter granted to the Trustees of Columbia University in the City of New York ("Columbia").

The Trustees of Columbia University in the City of New York is exempt under Section 501(c)(3) of the Internal Revenue Code of 1954. It has been classified as a public foundation under Sections 170(b)(1)(A)(ii) and 509(a)(i) of the Internal Revenue Code.

Applications and documents related thereto filed prior to January 1, 1948, have been destroyed pursuant to Congressional authority and, therefore, are not available for inspection.

Should you have any questions regarding this matter, you may contact me at the telephone number shown above.

Sincerely yours,



District Disclosure Officer