



FFOGTalk: Grants Management Software

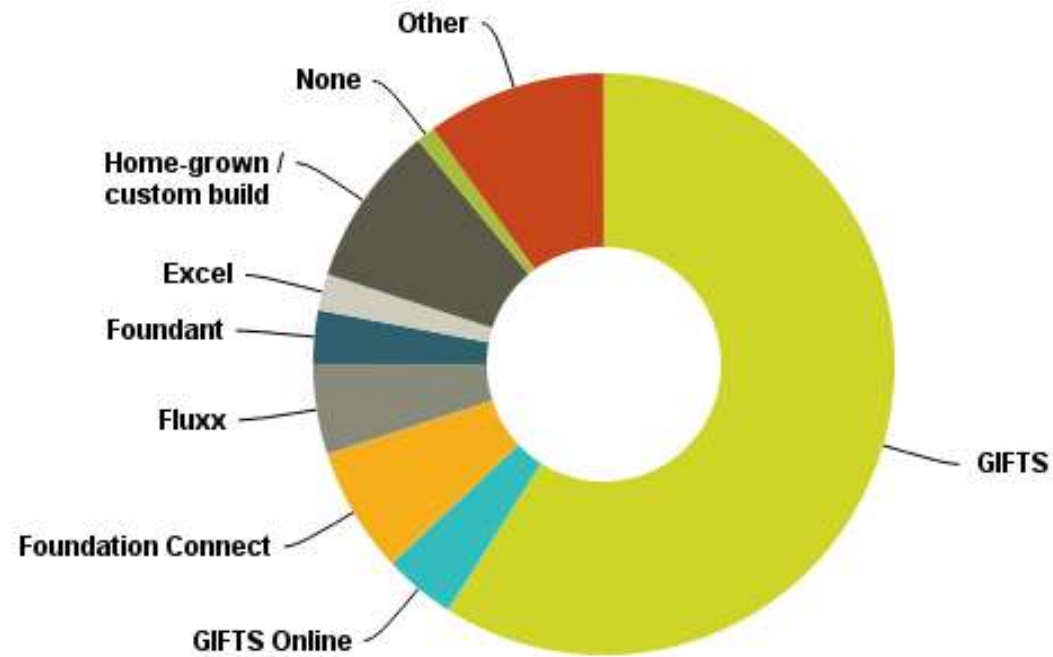
3:00 PM EDT • Tuesday, June 24, 2014



One-Day Survey Results

Q2 What is your current grants management software?

Answered: 100 Skipped: 0





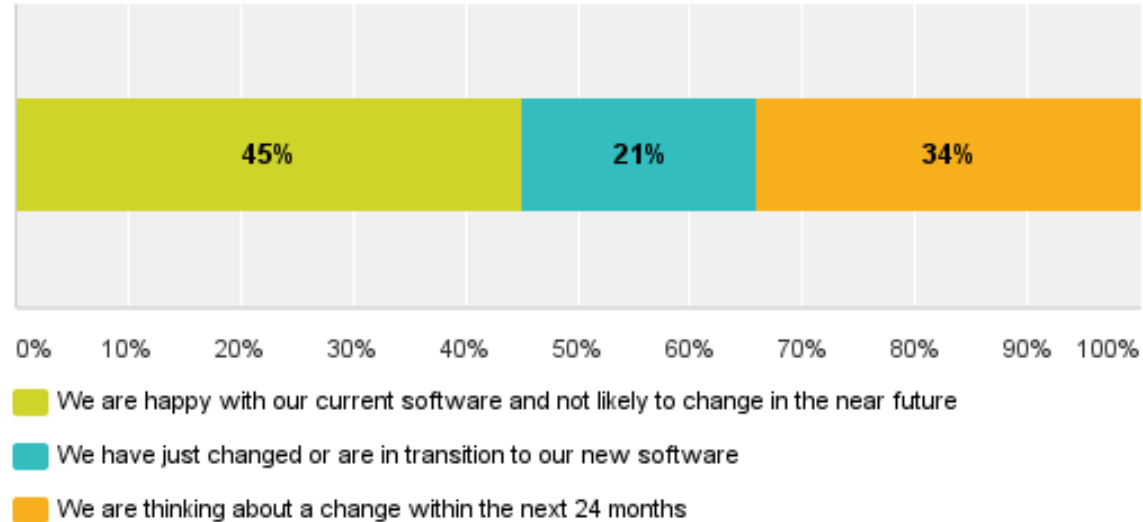
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One-Day Survey Results

Q3 Are you currently thinking about changing your grants management software?

Answered: 100 Skipped: 0





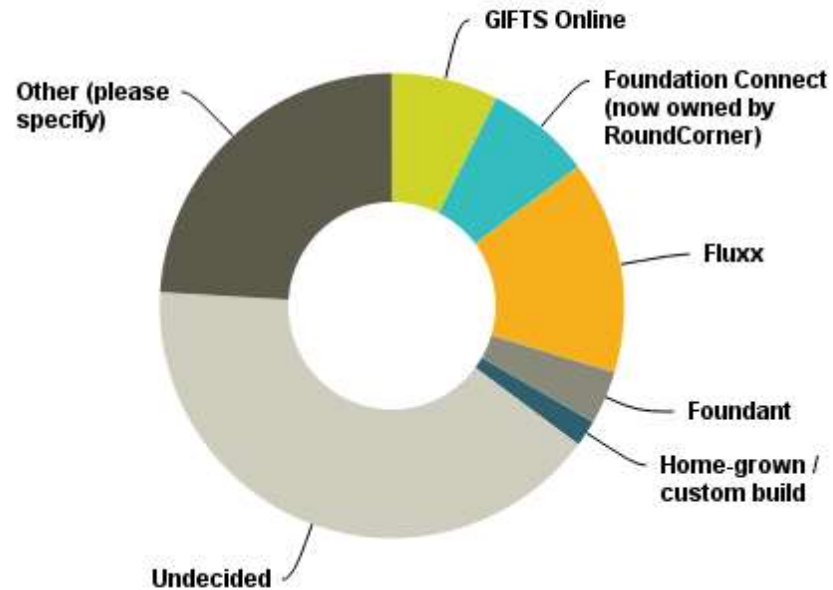
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One-Day Survey Results

Q4 If you have recently made a change or are in process now, what is the new grants management system you are switching to (or planning to switch to)?

Answered: 54 Skipped: 46

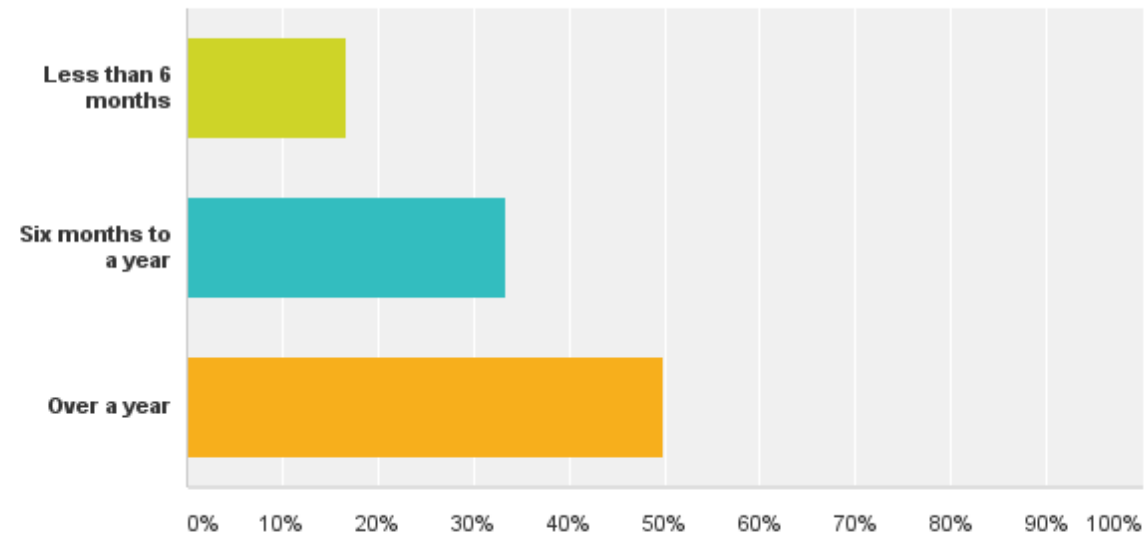




One-Day Survey Results

Q5 If you have recently made a change or are in process now, what is the total timeline of your project from selection to go live?

Answered: 54 Skipped: 46





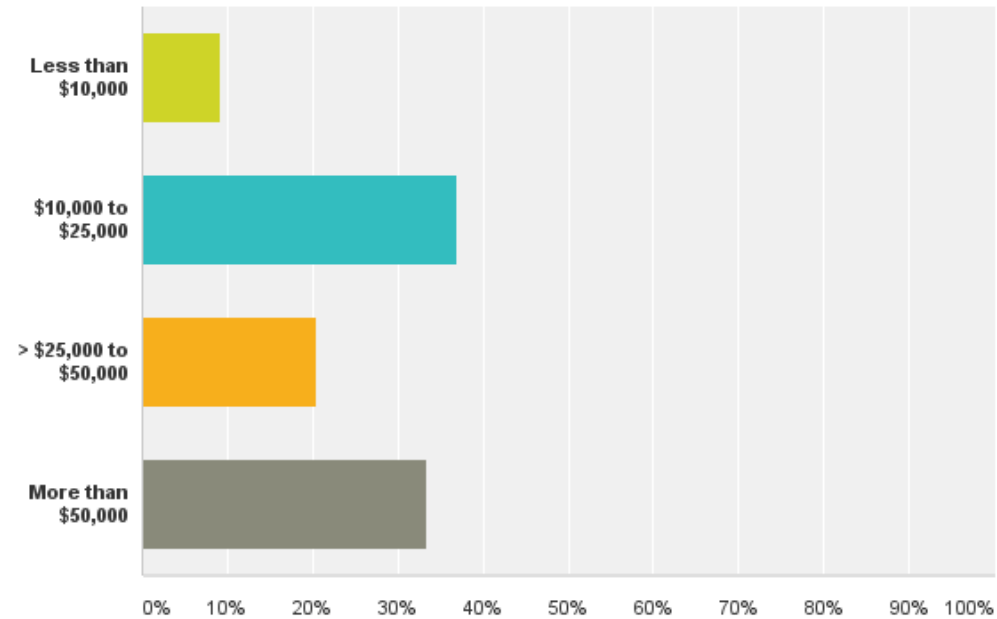
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One-Day Survey Results

Q6 If you have recently made a change or are considering one in the near future, what is your approximate total budget for the changeover including dedicated consulting services?

Answered: 54 Skipped: 46





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Tom Nichols



Vice President for
Finance and
Operations

[Arcus Foundation](#)



FFOGTalk– Grants Management Software

Thomas W Nichols – Arcus Foundation
Vice President for Finance and Operations
June 24, 2014

Overview

- Created in 1997 – by Jon Stryker
- Arcus Foundation &
Arcus Operating Foundation
- Annual Budget = \$40 million
- Annual Contributions = \$30 million
- Total Assets = 165 million
- Grants Budget = \$32 million
- # of Grants = 250
- Employees = 32

Grants and Knowledge Management Process Redesign - FLUXX

- Began in August 2013
- Workflow mapping redesign
- Taxonomy
- Results and Outcomes for Evaluation

FLUXX

Grants and Knowledge Management System

- What is FLUXX?
- What can FLUXX do?

What is FLUXX?

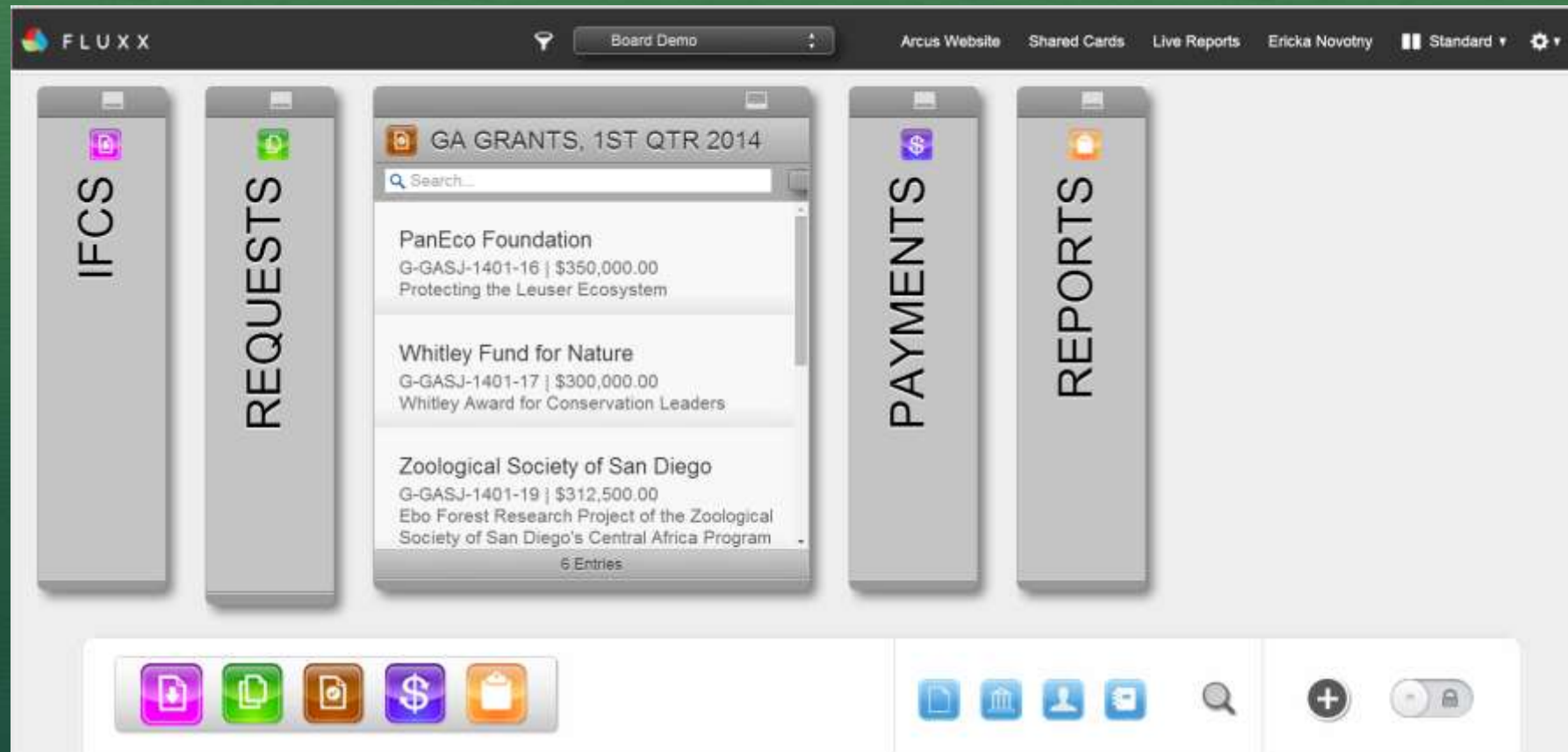
Web based grants management platform

- Single master record by Grantee/Grant
- Structured to follow grants “Life Cycle”
- Provides access to shared data to:
 - Grantees
 - Program Staff
 - Grants Management Staff
 - Senior Leadership
 - Trustees

Grants “Life Cycle”

- Informal Funding Concept (IFC)
- Requests (LOI to approval)
 - Letter of Inquiry (LOI)
 - Full Proposal
 - Program Request
 - Board Approval
- Grants
 - Payments
 - Reports
 - Modifications
- Closeout

Staff Portal



What will FLUXX do?


- Grants Management
- Budget Management
- Contact Management
- Project Management
- Ad Hoc Reporting
 - Evaluation/Impact
 - Learning


Fluxx as a support Trustees?

FLUXX will contain:


- Quarterly Grants Docket
- Board Meeting Materials
 - Board Book
 - Committee Materials
 - Board and Staff Directory
- Link to recommend a grant

Trustee Portal


 FLUXX

 Information


- Trustee Portal La...
- Request Trustee Grant

 Requests

- Pending Board Grants
- Pending GA Grants
- Pending SJ grants


 Grants


- Board Grants 2014

 Projects

- Board Materials

[Change Password](#)
[Logout](#)





Welcome to the Arcus Trustee Portal

This portal allows Board members to review Board materials, including grant dockets, grants awarded, and related Arcus policies and directories. In addition, Board Members have the ability to submit Board Discretionary Grant request forms.

If you have any questions about how to use this portal, please contact Ericka Novotny, Director of Grants Management, at enovotny@arcusfoundation.org.

Timeframe

- Initiate and Observe – 2 months
- Design and Map – 3 months
- Build and Test – 2 months
- Release – phased over 2 grant cycles



Thank You



FFOG FOUNDATION FINANCIAL OFFICERS GROUP

Annie Brinkman



Grants Manager

[Spencer Foundation](#)

Introduction

Spencer Foundation

Chicago, Illinois

Annie Brinkman, Grants Manager

abrinkman@spencer.org

Foundation Details:

- ❑ Current assets = \$540 million
- ❑ FY2015 grant budget = \$26 million
- ❑ Software choice -- SmartSimple

Example of Vendor Survey

#	Questions	Responses
1	Company name:	
2	Preferred Contact for your company:	
3	Product/Solution name:	
4	Website address:	
5	The Spencer Foundation primarily funds studies overseen by scholars at universities and research institutions. Because of this, our grant-making focuses on the contact/person associated with a request/application more than the organization, even though the organization receives the funds. Can your solution be customized to focus on the contact and not the organization? Please explain how.	
6	Is the solution web-based or server-based?	
7	Is web-based, does the solution include online application, online review, and online reporting capabilities?	
8	What technology platform(s) does your solution utilize?	
9	What report writer is utilized? If you do not use Crystal Reports does your solution support the creation and/or editing of advanced reports in Crystal Reports and then upload/import same into the solution?	
10	What are the supported interoperability with other Applications (e.g., Microsoft Office, Dynamics, etc?)	

Spencer's Final Three

- ❑ Good Done Great – Clean interface and good functionality, but concerns with customer service and data storage costs.
- ❑ NPower – Clean interface, but still developing a key function that we needed.
- ❑ SmartSimple – Great functionality, good customer service...but kind of busy interface (in Annie's opinion).

Implementation Timeline

- ❑ March & April 2012 – Developed the Statement of Work
- ❑ May through January 2013 – Build out of system
- ❑ January 2013 – System went live
- ❑ January through April 2013 – Final pieces of SOW completed

SmartSimple Internal Portal – Program Staff View



Welcome:

[Home](#) | [My Profile](#) | [Logout](#)



Submission Manager

Click here to access the Submission Manager



Library



Storage Tracker



Events Manager



Contract Manager



Community Portal

Click here to submit and review tickets submitted to SmartSimple Support.

My Tasks



My Proposals

Proposals Assigned to



My Reports

Reports submitted for review by



Lyle Reviews

Applications: 37

SmartSimple Submission Manager

Submission Manager

[Contacts](#)[Organizations](#)[Proposals/Grants](#)[Activities](#)[Legacy System](#)[Reports](#)

List View: Default << < Page 1 of 73 > >> Show All

Sort By: Default Asc

[New Proposal/Grant](#) [Print](#) [Edit View](#) [Export](#) [Hide Search](#)

Filter: All Results Save Search

<input type="checkbox"/>	#	View	Type/Research Area	Status/Decision Date	PI/Grantee Org	Project Title	Budget Total	Request ID/Reference #	Program Officer
<input type="checkbox"/>	1	Open	Major Grant Education and Social Opportunity	Awarded 02/18/2014					
<input type="checkbox"/>	2	Open	Major Grant Organizational Learning	Awarded 02/18/2014					
<input type="checkbox"/>	3	Open	Major Grant Education and Social Opportunity	Awarded 02/18/2014					
<input type="checkbox"/>	4	Open	Major Grant Teaching, Learning, and Instructional Resources	Awarded 02/18/2014					

Proposal/Grant Search

Show All Proposals/Grants >

☐ Except

☐ Search within result

[Advanced Search](#)

Date Created

Status

☐ Ready for Docket

☐ Pending Board Review

☐ Program Officer Approved

☐ Approved

☒ Awarded

☐ Pending Declination

☐ Declined

☒ Completed

Type

☐ Select All

☐ Small Grant

☒ Major Grant

☐ Research Grant/Panel Review

☐ Ad Hoc

☐ Communication

SmartSimple Payments Scheduled List

Submission Manager

ContactsOrganizationsProposals/GrantsActivitiesLegacy SystemReports

PrintEdit ViewExportHide SearchSort By: DefaultDescList View: Default Payment View

Filter: All Results

<input type="checkbox"/>	#		Type	Done Date	Organization	Reference Number	Amount	Paid Date	Proposal Type
<input type="checkbox"/>	1	Open	Payment						Philanthropic Grants
<input type="checkbox"/>	2	Open	Payment						Discretionary Grants
<input type="checkbox"/>	3	Open	Payment					09/01/2014	Discretionary Grants
<input type="checkbox"/>	4	Open	Payment						Conference Grants
<input type="checkbox"/>	5	Open	Payment						Conference Grants
<input type="checkbox"/>	6	Open	Payment						Conference Grants
<input type="checkbox"/>	7	Open	Payment						Small Grant
<input type="checkbox"/>	8	Open	Payment						Small Grant
<input type="checkbox"/>	9	Open	Payment						Small Grant
<input type="checkbox"/>	10	Open	Payment						Small Grant
<input type="checkbox"/>	11	Open	Payment						Major Grant
<input type="checkbox"/>	12	Open	Payment						Major Grant

Activities Search

Show All Activities

☐ Except☐ Search within resultAdvanced Search

Created Date: 01/01/1987

Status

☐ Select All☐ Draft☐ Submitted☐ Approved☐ Declined☐ Contingent☒ Scheduled☐ Processed

Type

☐ Select All☐ Review☐ Lyle Staff Review☐ External Review☐ Civics Measures Internal Review☐ EFC Panel Review☒ Payment

Export of Payment Data to Excel

A1 Jc #						
	A	B	C	D	E	F
	#	Type	Organization	Reference Number	Amount	Proposal Type
1						
2	1	Payment			\$	Philanthropic Grants
3	2	Payment			\$	Discretionary Grants
4	3	Payment			\$	Discretionary Grants
5	4	Payment			\$	Conference Grants
6	5	Payment			\$	Conference Grants
7	6	Payment			\$	Conference Grants
	7	Payment			\$	Small Grant
8						
9	8	Payment			\$	Small Grant
10	9	Payment			\$	Small Grant
11	10	Payment			\$	Small Grant
12	11	Payment			\$	Major Grant
13	12	Payment			\$	Major Grant
14	13	Payment			\$	Major Grant
	14	Payment			\$	Research Grant/Panel Review
15						
16	15	Payment			\$	Research Grant/Panel Review
17	16	Payment			\$	Research Grant/Panel Review
18	17	Payment			\$	Research Grant/Panel Review
19	18	Payment			\$	Research Grant/Panel Review
20	19	Payment			\$	Research Grant/Panel Review
21	20	Payment			\$	Research Grant/Panel Review
22	21	Payment			\$	Research Grant/Panel Review

SmartSimple Applicant Workbench View



Welcome: Annie Brinkmann

[Home](#) | [My Profile](#) | [Logout](#)

Welcome to your Applicant Workbench

Welcome! If you are new to the Spencer Foundation online system, please start by clicking on My Profile in the upper right corner and updating your contact information. By clicking one of the tabs below, you may either begin and submit a new proposal, edit a draft or pending proposal, view your awarded grant history, submit the required reports for your Spencer Foundation grant, or view your entire submission history with the Spencer Foundation. If you have any questions as you work within the Spencer Foundation online system, please feel free to contact Annie Brinkman, Grants Manager, at abrinkman@spencer.org or 312-274-6511.

New Proposal	New Proposal	Pending Proposals	Grants Awarded	Reports Due	Events / Meetings	Reviews
<u>Funding Opportunities</u>						
Small Research Grants in the Areas of Inquiry Application			Click here for more info.		Apply	
The New Civics Small Grant Application			Click here for more info.		Apply	
The New Civics Measurements Preliminary Proposal Application			Click here for more info.		Apply	
Philosophy in Educational Practice and Policy Small Grant Application			Click here for more info.		Apply	

Applicant Budget Form

Project Budget				
	Year 1	Year 2	Year 3	Total
Salaries				
Principal Investigator				
Co-PI(s)				
Research Assistant(s)				
Staff				
Tuition/Fees				
Benefits				
Subtotal Personnel				
Project Expenses				
Fees/Stipends				
Supplies				
Communication				
Transcription				
Equipment				
Travel				
Miscellaneous				
Subtotal Project Exp				
Total Direct Costs				

SmartSimple Reviewer Form

Review Form

Application Preview:

#

File Name

1. [Proposal.pdf](#)

I. Significance of Research Questions: Please rate from 1 (low) to 5 (high)

Centrality of education in the research:

--Select One--

Importance of the topic to its field and/or potential stakeholders:

--Select One--

Literature review:

--Select One--

* Comments on the significance of the research questions

II. Appropriateness of the Research Design: Please rate from 1 (low) to 5 (high)

Conceptual Framework:

--Select One--

Data:

--Select One--

Analytic Methods:

--Select One--

* Comments on the research design



FFOG FOUNDATION FINANCIAL OFFICERS GROUP

Jeff Malloy



Director of Finance and
Administration

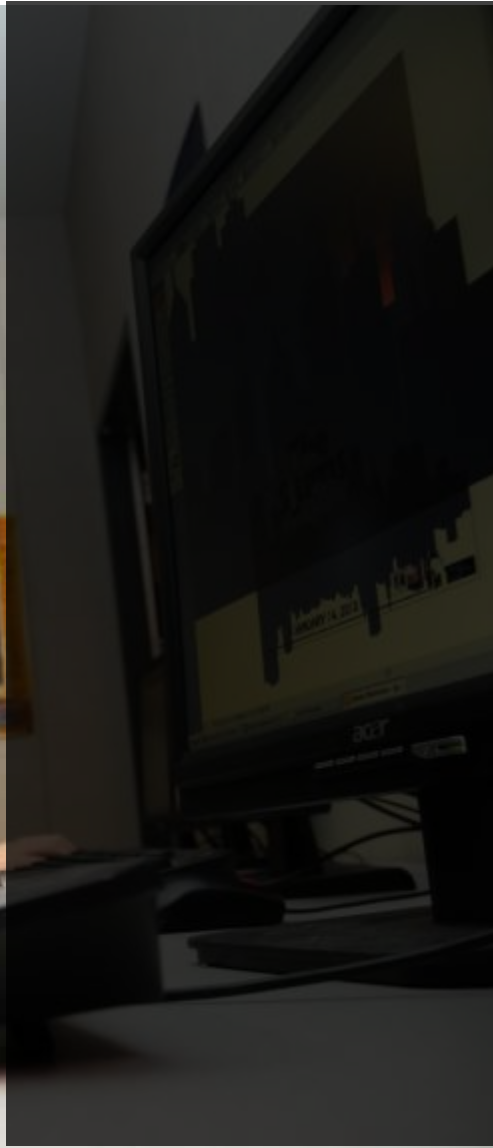
[James Irvine Foundation](#)



The **James Irvine**
Foundation

Jeffrey Malloy

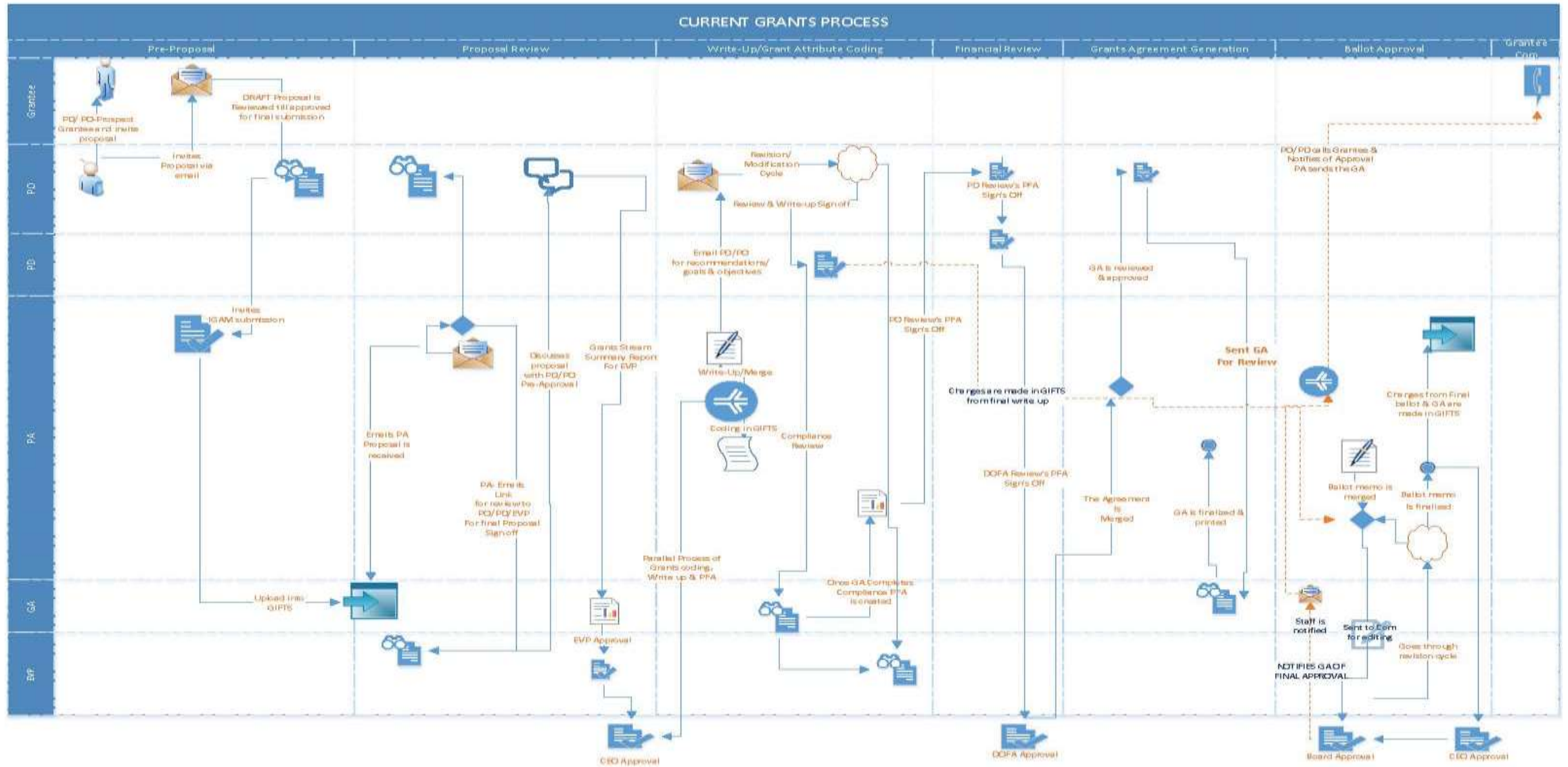
Director of Finance & Administration ,
The James Irvine Foundation



The James Irvine Foundation

- Founded in 1937
- \$1.3 billion in grants to more than 3,500 nonprofits since 1937
- \$1.9 billion in current assets
- \$69 million in grants (2013)
- Largest California-focused, multi-issue foundation
 - Arts
 - California Democracy
 - Youth

The problem:



Grantmaking steps



Stages:



Transitions:



New processes in FoundationConnect



Budgeting

- Load FC with program budgets
- Placeholders for grantees, initiatives or target areas
- Timing for 2014 budgets



Prospecting

- Identify candidates
- Explore alignment
- Enter/update grant record when conversations begin
- Record highlights of key conversations
- Could include concept paper



Proposal Development

- Proposal invites generated in FC
- All grant info in one place refinements done in FC
- Reviews are managed in FC (not paper or Box)

Streamline policies

- Increase presidential approval levels
- Increase president approval budgets
- EVP grant making authority



	President's Approval Fund			Board		
Cap Level	Dollars	% of Dollars	# of Grants	Dollars	% of Dollars	# of Grants
\$150,000 Cap	\$8.0M	13%	96	\$55.9M	87%	94
\$300,000 Cap	\$18.3M	29%	137	\$45.6M	71%	53

Streamline policies

- Reduce steps
- Process automation
- Reduce timeframe



Streamline policies

- Paperless
- Streamline financial analysis
- Streamline recommendations for Board review



The impact

